

JAPCC Flyer

Does NATO conduct Space Operations? This is a question that currently divides NATO. NATO owns Space assets, acquires Satcom bandwidth and uses national Space products and services. Although the AJP-3.3(A) – Allied Joint Doctrine for Air and Space Operations exists, NATO lacks a common understanding of Space Operations or a holistic approach with respect to Space. Furthermore, NATO lacks the awareness that it does in fact, conduct Space Operations.

NATO Space 'Operations Iceberg' – Looming Danger of a Non-holistic Approach

Currently, NATO lacks a common understanding or consistent use of the term 'NATO Space Operations'. Today, discussions about NATO Space Operations are commonly reduced to purely focusing on the Space segment (e.g. Command and Control (C2) of satellites), and often neglect the ground, user and link segments. This may be largely due to a lack of knowledge or due to political sensitivities associated with the topic. Whatever the reason, it is dangerous to approach this subject with a narrow focus.

The Iceberg Phenomenon

To illustrate the danger of this lack of a holistic understanding, consider the analogy of the 'iceberg phenomenon', where approximately ten per cent of the iceberg's volume is visible above the waterline. Consequently, we have no, or only limited knowledge of, what is beneath the waterline. We can apply this analogy to the complex system of Space Operations: the tip represents the current narrow focus on the Space segment, and the looming danger beneath the waterline represents the current lack of a unified, collective understanding of the other assets of NATO Space Operations and the potential threats to them. Today, Space products and services are seamlessly integrated into NATO military operations and indeed have

changed its thinking and behaviour. No commander likes the idea of leading an operation without satellite communications, satellite pictures, weather forecasts, GPS for position, navigation and timing, or the ability to operate MALE/HALE UAVs¹. However, this is exactly what may happen if members across NATO do not have a common understanding or approach to all the elements which comprise Space Operations; this is the NATO Space 'Operations Iceberg'.

Space Assets

- Ground control segment
- (e.g. 10 static Satellite Ground Terminals)
- User segment (e.g. mobile Satcom Terminals)
- Link between the segments
- Space segment (e.g. satellites)

NATO and Space

Although NATO no longer owns satellites² and does not exercise C2 of any satellite, NATO's Alliance Ground Surveillance (AGS) and the International Security Assistant Force (ISAF) demonstrate how Space capabilities support NATO combat operations. This is made possible through Space products and services provided by NATO member countries. Additionally, NATO contracts commercial satellite communication services to satisfy its ever-growing communication needs and still possesses Space assets (e.g. static and mobile ground satellite communication stations). This use of Space capabilities has led to critical dependencies and added new threats to NATO operations. The 'NATO Space Operations Assessment' (SOA, 2009) and the Schriever Wargame 2012 International (SW12I) have identified/addressed some of NATO's shortfalls. NATO acknowledged its dependency on space and tasked several entities to investigate. Amongst others, a Bi-Strategic Working Group was established and tasked by mandate to investigate some of the operational dependencies and educational questions.

Putting It Straight

ISAF, NATO SOA and SW12I are milestones in NATO's efforts with respect to Space and reflect one common element: NATO conducts Space Operations and those operations are intrinsically linked with NATO operations.

Although NATO's Allied Joint Publication AJP-3.3(A) – Allied Joint Doctrine for Air and Space Operations addresses Joint Military Space Operations, the Alliance lacks a common, holistic understanding of what activities are included across the full spectrum of Space Operations.

A sole focus on the Space segment and its C2 functions neglects three of the four elements of Space Operations. The fact that NATO does not own Space segments must not lead to the wrong conclusion that NATO does not conduct Space Operations. It is imperative to acknowledge that the iceberg tip carries a danger looming beneath the waterline.

What's the Course?

In order to clarify this misconception, all NATO nations and NATO entities must develop a common understanding of Space Operations to avoid underestimating the threats.

Based on NATO's current doctrine³:

NATO will not operate across the full spectrum of Space Mission Areas described in AJP 3.3 (A) (e.g. Space Support or Offensive Space Operations). But it is of utmost importance to understand the interdependencies across the full spectrum of Mission Areas and the implications to NATO Joint Operations.

By adopting AJP-3.3(A), NATO member countries already agreed on a common understanding of Joint Military Space Operations. Accepting the fact that NATO does conduct Space Operations and understanding the complex systems behind the conduct of Space Operations is consistent with forming a holistic approach. This will also highlight that Space Operations and NATO operations are intrinsically linked and will allow the maximum exploitation of Space in support of NATO operations.

Conclusions

Today Space is a congested, contested and competitive domain^₄ and NATO is heavily dependent on Space capabilities more than ever.

- Although NATO does not own nor exercises C2 over the Space segments it receives, NATO conducts Space Operations in the context of the adopted AJP-3.3(A).
- NATO must acknowledge, accept, and fully understand what the entire nature, implications and threats to Space Operations are, as agreed upon in AJP-3.3(A).
- NATO has to define a common holistic approach and to develop policies, strategies and guidelines to improve the common understanding of Space Operations.

Neglecting the fact that NATO conducts Space Operations leads to an inadequate perception of Space capabilities and fosters a 'stove-pipe' mentality. This could lead to incorrect threat assessments, limited decision options and bad decision making.

Following the analogy, this is equivalent to assuming that there is no ice underneath the waterline of an iceberg, which can ultimately prove to be catastrophic.

MALE-Medium Altitude Long Endurance, HALE – High Altitude Long Endurance, UAV – Unmanned Aerial Vehicle.
During the Cold War, until the year 2010, NATO owned several communication satellites. The last satellite, NATO IV, stopped operational service in 2010. TT&C was handled by the USA and the GBR.

3. AJP-01(D), Lexicon-7. 'It is authoritative, but requires judgement in application.'

4. HQ SACT Report on SW12I, p. iv.



Lt Col Steffen Neumann DEU Air Force Space SME, C4ISTAR Branch Joint Air Power Competence Centre

Lt Col Steffen Neumann is a Space Subject Matter Expert in the JAPCC in Kalkar, Germany. He holds two Master Degrees in Aerospace Engineering and Business Administration. He has more than 10 years experience in GBAD, C2, Ops Planning, and served as a NATO ISAF Air Liaison Officer in 2010/11.

© 2013 JAPCC

This flyer may be reproduced for the purpose of instruction, reference or analysis under the following conditions:

^{1.} You may not use this work for any commercial purposes, nor may it be used as supporting content for any commercial product or service. 2. You may not alter, transform, or build upon this work. 3. All copies of this work must clearly display the original copyright notice and website address. 4. A complete reference citing the original work must include the following: Author(s), 'Paper Title', Publication Title, Editors, Volume (Issue) Number, Article (or Page) Number, (Year). 5. Any on-line reproduction must also provide a link to the JAPCC website www.japcc.org.

Disclaimer: The Flyer is a product of the Joint Air Power Competence Centre (JAPCC). It is produced to provide an update on current topics within the NATO Air & Space Power community. It does not represent the opinions or policies of NATO and reflects independent analysis, opinion, and the position of its author. Releasable to the Public.